

THE COMMISSIONER OF PATENTS AND TRADEMARKS  
Washington D. C. 20231

CASE LOCKER NO. 5555 4134507010

Sir: **10/23/99**  
 Transmittal herewith for filing is the patent application of  
 Inventor(s): DONALD C. MANN, BERT D. COOK, JR., WILLIAM ZEISSNER AND ROBERT L. PARKISON  
 For: DATA STORAGE DEVICE, APPARATUS AND METHOD FOR USING SAME  
 Enclosed are:

- ☒ Twenty Four (24) sheet(s) of informal drawing(s).  
☒ An Assignment of the invention to ULTRACARD, INC. a Nevada corporation  
☐ A certified copy of a \_\_\_\_\_ application.  
☐ An associate Power of Attorney.  
☒ VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) & 1.27(b))--INDEPENDENT INVENTOR  
☒ VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) & 1.27(c))--SMALL BUSINESS CONCERN

The filing fee has been calculated as shown below.

\*\* Duplicate copy of this form attached to Assignment.

	(Col. 1)	(Col. 2)
FOR:	NO. FILED	NO. EXTRA
BASIC FEE		
TOTAL CLAIMS	115-20=	* 95
INDEP CLAIMS	12 3=	* 9
<input checked="" type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED		

\* If the difference in Col.1 is less than zero, enter "0" in Col.2

## SMALL ENTITY

RATE	FEE
	\$380
X 9=	\$855
X39=	\$351
x135=	\$
TOTAL	\$1586

OTHER THAN A  
SMALL ENTITY

RATE	FEE
	\$ 760.
X18=	\$
X78=	\$
x270=	\$ 0
OR TOTAL	\$

+ Assignment \$ 40.00 = \$ 1,626.00 total

- ☒ Please charge my Deposit Account No. 13-2515 for any additional fees. A duplicate copy of this sheet is enclosed.  
☒ A check in the amount of \$ 1,626.00 to cover the filing fee associated with this communication or credit any overpayment to Deposit Account No. 13-2515. A duplicate copy of this sheet is enclosed.  
☒ Any additional filing fees required under 37 CFR 1.16.  
☐ Any patent application processing fees under 37 CFR 1.17.  
☐ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 13-2515. A duplicate copy of this sheet is enclosed.  
☐ Any patent application processing fees under 37 CFR 1.17.  
☐ The issue fee set in 37 CFR 1.18 at or before mailing of the Notice of Allowance, pursuant to 37 CFR 1.311(b).  
☐ Any filing fees under 37 CFR 1.16 for presentation of extra claims.

Daniel J. Meaney, Jr., Esq.  
 P. O. Box 22307  
 Santa Barbara, California 93121  
 Phone: (805) 565-5513  
 Date: October 23, 1999

Respectfully submitted,

*Daniel J. Meaney, Jr.*

Daniel J. Meaney, Jr.  
 Reg. No. 22179

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANTS: DONALD C. MANN, )  
               BERT D. COOK, JR., )  
               WILLIAM ZEISSNER, and )  
               ROBERT L. PARKISON )  
   )  
 ATTORNEY DOCKET NO.: 5555 413436/010 )  
   )  
 FILED:           October 23, 1999 )  
                   (By Express Mail) )  
   )  
 FOR: DATA STORAGE DEVICE, APPARATUS, )  
       AND METHOD FOR USING SAME )  
   )

Commissioner of Patents  
 and Trademarks  
 Washington, D.C. 20231

CERTIFICATE OF FILING UNITED STATES  
UNITED STATES APPLICATION BY EXPRESS MAIL

Dear Sir:

Pursuant to the provisions of 37 C.F.R. Section 1.10, enclosed are:

(1) COVERING LETTER ON FORM PTO-1082;

I hereby certify that this correspondence is being deposited  
 with the United States Postal Service as EXPRESS MAIL NO.  
 EK042896800US in an envelope addressed to:  
 Commissioner of Patents and Trademarks,  
 Washington, D.C. 20231, on October 23, 1999.

Date of Signing: October 23, 1999

Signature: Donald J. Manning

Date of Mailing: October 23, 1999

- (2) Patent Application comprising Specification Pages 1 through 87 and Claims 1 through 115 and DECLARATION FOR PATENT APPLICATION;
- (3) Twenty-four (24) Sheets Informal Drawing;
- (4) VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) & 1.27(b))--INDEPENDENT INVENTOR Two (2) Pages;
- (5) VERIFIED STATEMENT CLAIMING SMALL ENTITY STATUS (37 CFR 1.9(f) & 1.27(c))--SMALL BUSINESS CONCERN;
- (6) CHECK for \$1,626.00 paying the Filing Fee of \$1,586.00 for a Small Entity and \$40.00 for the Assignment Recording Fee;
- (7) ASSIGNMENT and RECORDATION FORM COVERING SHEET; and
- (8) Two (2) postcards, one for the PROVISIONAL APPLICATION and the one for the ASSIGNMENT.

We respectfully request a filing date of October 23, 1999, the date of mailing the above-referenced documents, pursuant to the provisions of 37 C.F.R. Section 1.10.

If any additional fees are due herein, please charge the same to Deposit Account No. 13-2515.

Respectfully submitted,



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